

Private Investors

Junior ISA Transfer Form

This transfer form is designed for the transfer of an existing Junior ISA or Child Trust Fund to the Threadneedle Junior ISA.

Terms used in the Prospectus have the same meaning given to them in this transfer form.

The Terms and Conditions relating to your orders will also apply.

Please use this form if you are a PRIVATE INVESTOR, and wish to transfer an existing Junior ISA or Child Trust Fund into a Threadneedle Junior ISA.

For a comprehensive list of all Threadneedle Funds available via the Threadneedle Junior ISA, please refer to our website www.columbiathreadneedle.com.

Which section is applicable to you?

All investors should complete the following:

- Section 1 (Investor details – Threadneedle Junior ISA)
- Section 2 (Funds you want to invest in)
- Section 3 (Client identification)
- Section 4 (Declarations)

Completing this form

Before completing this transfer form, please make sure you have read the current Key Investor Information Document (KIID) and/or non-UCITS retail scheme Key Investor Information Document (NURS-KII) for the Threadneedle Fund you are thinking of investing into.

Anti-money laundering and client identification

We have a legal obligation to help prevent money laundering and terrorist financing by conducting client identification and verification checks upon the creation of a new account for you and to ensure that the information we hold on file for you is up-to-date.

How to contact us

Our Client Services Team will assist you with the purchase, switch/conversion or sale of shares or units in Threadneedle Funds and general administrative queries about dealing.

Notices, letters or other documents you want to send to the Client Services Team must be sent to:

Postal address: Threadneedle Investment Services Limited, PO Box 10033, Chelmsford, Essex, CM99 2AL Telephone (dealing & customer queries): 0800 953 0134

Email (enquiries): questions@service.columbiathreadneedle.co.uk

Please note that calls may be recorded.

Please send your signed and completed form and client identification documents to us by post to the postal addresses set out above.

What to do if you have any questions

If you have any questions about the suitability of your investment, please speak to your financial adviser. We do not give financial advice, but if you have any general queries about this transfer form, please call our Client Services Team.

SECTION 1

INVESTOR DETAILS	
Please complete in BLOCK LETTERS using INK	
The overall Junior ISA subscription limit for the 2022/2023 tax year is £9,000 . Any amount invested above the Junior ISA limit will not carry the tax advantages of a Junior ISA.	
Details of the applicant investing on behalf of the child (registered contact)	
Title (Mr, Mrs, Miss, Other)*	
First name*	
Surname*	
Permanent residential address*	
Postcode*	
Telephone*	
Mobile	
Email address	
Date of birth*	dd / mm / yyyy

I apply to open a Threadneedle Junior ISA for:	
Child's title*	
First name*	
Surname*	
Permanent residential address*	
Postcode*	
Child's date of birth*	dd / mm / yyyy
The child named above will be the beneficial owner of the account investments.	

*Please note that without this information we cannot process your application

Applicant's contact details (if different from above)	
Contact name	
Address for correspondence	
Telephone	
Mobile	
Fax	
Email address	

Details of Junior ISA being transferred	
Plan manager name:	
Plan manager address:	
ISA account number (old provider)*	
Transfer date*	dd / mm / yyyy
Date of first subscriptions in tax year of transfer	dd / mm / yyyy
<i>Please note that the "Date of first subscription" is only to be completed if the Junior ISA is opened in the tax year in which the transfer takes place. If the transfer takes place in a later year, the field must be left blank.</i>	
Type of ISA	
Total subscriptions in tax year of transfer*	£
Details of any investment income to follow	£
<input type="checkbox"/> I would like to transfer the full balance of the Junior ISA in the nominated tax year (<i>please note that if you are transferring a Junior ISA for the current tax year you must transfer the full amount</i>)	

Details of Child Trust Fund being transferred	
Plan manager name:	
Plan manager address:	
Child Trust Fund company's name*	
Transfer date*	dd / mm / yyyy
Child's unique reference number* (<i>This can be found on a statement from your existing provider</i>)	
Child Trust Fund account reference number	
<input type="checkbox"/> I would like to transfer the value of:	£
<input type="checkbox"/> I would like to transfer the full balance of the account	

*Please note that without this information we cannot complete your application

SECTION 2

THREADNEEDLE FUNDS YOU WANT TO INVEST IN

Please complete this section if you wish to transfer an existing Junior ISA or Child Trust Fund into a Threadneedle Junior ISA.

Please fill in the table below to show the Threadneedle Funds you want to invest in and tell us how much you want to invest in each one.

A few points to note

Minimum investments apply to Threadneedle Funds.

You can invest a single lump sum, make monthly payments or both.

The regular saver option means you want us to take amounts out of your bank account each month and invest it for you in the Threadneedle Fund that you have selected.

Please see the relevant Prospectus for further details, including lump sum minimum investment amounts, share/unit type availability and nominated share/unit classes for Threadneedle Funds.

If you are unsure about any of the features of the Threadneedle Funds (including the Share Class or type of Share), please speak to a financial adviser.

Please use another sheet of paper if you need more space to list your choice of funds

Name of Threadneedle Fund (e.g. UK Equity Income Fund)	ISIN (available in the KIID or NURS-KII)	For cash transfers, please show by percentage or cash amount how you would like your ISA investment to be split

SECTION 3

CLIENT IDENTIFICATION (Registered contact)

We have a legal obligation to help prevent money laundering and terrorist financing by conducting client identification and verification checks.

Please send your completed form and any relevant client identification documents to our Client Services Team.

We require documentation from each of the following sections, one to confirm name and one to confirm address. These must be separate documents:

ID	Address	Certification of documents
<ul style="list-style-type: none"> – Current signed passport – Current signed UK/EEA photo card driving licence (a counterpart driving licence is NOT an acceptable document for AML purposes) – Current full UK driving licence (old version) – State pension documentation, benefits book or original notification letter from the Benefits Agency confirming the right to benefits. (dated within the last 12 months) – EEA Member state identity card – Inland Revenue Notifications/letters (Tax Assessment, Notice of Coding or Statement of Account) dated within the last tax year. Not P45 or P60 – Self-employed registration cards for construction industry (CIS3(partner), CIS4(P), CIS4(T), CIS5, CIS6) – Shotgun or Firearm certificate – Residence permit issued by the Home Office to EU Nationals 	<ul style="list-style-type: none"> – Recent utility bill (not mobile phone bill). Must be dated within the last 3 months – Local authority tax bill, rent card or tenancy agreement (valid for current year) – Solicitor/Lawyer letter confirming a house purchase or land registry confirmation – Bank or credit card statement showing address within 3 months, not printed from the internet – State pension documentation, benefits book or original notification letter from the Benefits Agency confirming the right to benefits dated within the last 12 months (where not used as proof of ID) – Inland Revenue Notifications/letters (Tax Assessment, Notice of Coding or Statement of Account) dated within the last tax year (where not used as proof of ID). Not P45 or P60. – Recent mortgage statement (dated within the last 12 months) 	<p>We can accept certification from the following list, where a non-family member:</p> <ul style="list-style-type: none"> – Bank or building society official – Accountant – Doctor – Local Government official/councillor – Dentist – Police officer – Teacher/lecturer – Solicitor/Barrister – Notary public/Public Officer – Embassy/Consulate official – Director of limited company – Justice of the peace – MP – General/Colonel – Post Office Certification Service – Financial adviser or other agent

It is strongly recommended that you do not send the original items of valuable personal identity such as passports, driving licences or benefits books. Instead, please arrange for copy documents to be certified and send them to us.

All certification must be clear and visible on the document, and wherever possible, should appear on the front page. Certification should clearly bear the words “certified a true copy of the original”.

The certifier must sign and print their name, including their designation and full address, and preferably their contact number. The certifier must also add the date of their certification.

We will accept documents that have not been certified on every page, e.g. legal documents, providing they are clear and complete.

Bank Verification

We are able to pay redemption proceeds direct to your bank account upon receipt of correctly completed forms and satisfactory verification. Proceeds will be released 4 working days after the trade date. If you wish to have the proceeds paid by BACS, we require one of the following documentation:

- A voided cheque
- An original bank statement (must be dated within the last 3 months)
- A giro or paying in slip for the bank account
- A letter from your bank confirming the bank details (must be dated within the last 3 months)

The documents must relate to the bank account that you wish to have the proceeds paid into, which must be your own bank account.

SECTION 4

WHAT ARE YOU AGREEING TO BY SIGNING BELOW?

By signing this transfer form you will be agreeing to be bound by it, including the Terms and Conditions attached to this form and the KIID /NURS-KII (as further described below).

This Form will equally apply to any redemption, switching or conversion orders you place later on: please see the Terms and Conditions for more about selling, switching and converting shares/units. Please check that the whole form is completed and any information we have requested has been provided. If you don't sign below, if the form is incomplete, or if UK money laundering regulations require us to obtain more information, your application may be delayed or rejected.

Key Investor Information Document (KIID)/non-UCITS retail scheme Key Investor Information document (NURS-KII)

Before signing this form, you must carefully read the current KIID and/or NURS-KII (as the case may be) for the Threadneedle Funds you are investing in, as well as the Terms and Conditions attached to this form. Please also make sure you keep a copy of those documents and this transfer form (including the Terms and Conditions) for your records. If you don't understand any point please call our Client Services Team for further information.

General declarations

I declare that:

- I am 18 years of age or over and I am the child/I have parental responsibility for that child
- I have read the Terms and Conditions attached to this transfer form
- I have read the KIID/NURS-KII relevant to the Threadneedle Fund I am investing in
- I have read the Target Market information for the Threadneedle Fund I am investing in
- The investments I'm making using this transfer form belong to me and I agree to be bound by the terms of this transfer form and the Terms and Conditions
- I understand that Threadneedle may use an external agency to satisfy its UK anti-money laundering requirements. The agency may record that an enquiry has been made
- The information provided on this form is, to the best of my knowledge and belief, true, accurate and complete
- I have parental responsibility for the child
- The child does not have a Child Trust Fund account
- I will be the registered contact for the Threadneedle Junior ISA
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant. I will inform Threadneedle Investment Services Limited if the child ceases to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties
- I have not subscribed and will not subscribe to another Junior ISA of this type for this child
- I am not aware that this child has another Junior ISA of this type
- I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit
- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded
- I authorise Threadneedle Investment Services Limited to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash, and make on the child's behalf any claims to relief from tax in respect of Junior ISA investments

Transfer from Child Trust Fund – specific declaration

I understand that the Child Trust Fund I am transferring will be transferred into a Threadneedle Junior ISA and cannot be transferred back into a Child Trust Fund.

If I am transferring a stakeholder Child Trust Fund, I understand that the Threadneedle Junior ISA terms will not apply: (i) lifestyle from age 15, (ii) minimum subscriptions and (iii) annual charge caps under the existing Child Trust Fund.

Privacy

We are committed to maintaining the personal data that you provide to us in line with data protection and data privacy legislation. The Privacy Statement contained in our Terms and Conditions and our Privacy Notice gives more information about this and sets out the purposes for which your data may be used and your data subject rights.

Registered Contacts we and other companies in our group may use your personal information to keep you informed about investment products and services that you may be interested in from time to time.

Please select if you would like to receive marketing communications by

Telephone/SMS YES/NO

Email YES/NO

Post YES/NO

You have the right to change your marketing preferences at any time, please refer to the "How to contact us" section of this Form.

Note also that telephone calls from or to us may be recorded for monitoring or training purposes.

Signatures

Signature	Name	Date
Applicant		dd / mm / yyyy

